

Document Number: No. 9 [2022] of the People's Government of Liaoning Province  
Area of Law: Opening Up and Encouraging Foreign Investment Optimization of Doing Business

Level of Authority: Local Regulatory Documents

Date Issued: 03-11-2022

Effective Date: 03-11-2022

Issuing Authority: People's Government of Liaoning Province

Status: Effective

Topic: China (Liaoning) Pilot Free Trade Zone

**Notice by the People's Government of Liaoning Province of Issuing the Plan for Further Deepening the Reform and Opening up of China (Liaoning) Pilot Free Trade Zone**

(No. 9 [2022] of the People's Government of Liaoning Province)

The people's governments of all cities; and all departments and commissions of the provincial people's government and institutions directly under the provincial people's government:

The Plan for Further Deepening the Reform and Opening up of China (Liaoning) Pilot Free Trade Zone is hereby issued to you for your conscientious implementation.

People's Government of Liaoning Province

March 11, 2022

**Further deepen China (Liaoning) free trade Pilot Zone Reform and Opening Program**

The construction of pilot free trade zones is a strategic measure taken by the Party Central Committee and the State Council to comprehensively deepen reform and expand opening up under the new situation. China (Liaoning) Pilot Free Trade Zone (hereinafter referred to as the Pilot Free Trade Zone) has been in operation for more than 4 years, and the construction has achieved phased results, and the overall goal has been achieved. In order to thoroughly implement the spirit of General Secretary Xi Jinping's important speech and instructions on the revitalization and development of Northeast China and Liaoning, further deepen the reform and opening up of the Pilot Free Trade Zone, and combine the actual conditions of our province, this plan is formulated.

1. General requirements

(1) Guiding ideology. Guided by Xi Jinping Thought on Socialism with Chinese Characteristics for a New Era, thoroughly implement General Secretary Xi Jinping's important speeches and instructions on the revitalization and development of

Northeast China and Liaoning, completely, accurately and comprehensively implement the new development concept, serve and integrate into the new development pattern, and comprehensively deepen reforms Expand opening up and promote high-quality development. Adhere to problem-oriented, small-cut implementation, deepen system integration reform, benchmark international rules, improve the level of international cooperation in Northeast Asia, further emancipate the mind, pioneer and innovate, boldly try, boldly break through, and independently reform, and strive to achieve more that can be replicated and promoted The results of institutional innovations have released the dividends of reform and opening up on a wider scale, and played an important role in promoting the high-quality economic development of Liaoning.

(2) Development goals. By 2025, the Pilot Free Trade Zone will achieve major results in the reform of important areas and key links, and the business environment will be market-oriented, ruled by law, and internationalized. Take the lead in establishing an institutional system that is in line with international investment and trade rules, the new open economic system will be more complete, and the leading role of opening up will be more prominent. Promote high-quality development with high-level institutional innovation, and strive to build the Pilot Free Trade Zone into an important carrier for the Northeast region to build a new development pattern with the domestic cycle as the main body and the domestic and international dual cycles mutually promoting each other.

2. Strengthen system integration and innovation, and deepen reform of market-oriented systems and mechanisms

(3) Deepen the reform of the commercial registration system. Explore the registration management model of "self-declaration + form review + qualification confirmation + social announcement". Comprehensively promote the full coverage of the reform of "separation of licenses" for enterprise-related business licensing matters. Expand the scope of application of electronic business licenses, and accelerate the application of electronic seals in areas such as enterprise management and social services. Deeply promote the reform of the examination and approval system for engineering construction projects. Implement a hierarchical and classified supervision system based on the credit status and risk level of the supervised objects. Further refine the discretionary power of administrative penalties, and implement credit reports in some areas instead of non-violation certificates. Promote the credit repair system for commercial entities.

(4) Further improve the level of government services. Deepen the reform of "one thing, one network, one window, one time" and expand the high-frequency matters of "one visit at most". Except for special matters, promote the network handling rate of government service matters to reach 100%. Under the framework of the Closer Economic Partnership Arrangement (CEPA) between the Mainland, Hong Kong and

Macau, the approval authority for service providers from Hong Kong and Macau to invest and set up travel agencies in the Pilot Free Trade Zone is delegated from provincial tourism authorities to the Pilot Free Trade Zone. Carry out pilot projects for territorial management of online games.

(5) Deeply implement the reform of state-owned assets and state-owned enterprises. Comprehensively establish a management system in which the board of directors of state-owned enterprises delegates authorization to the managers. Improve the market-oriented operation mechanism of state-owned enterprises, and support qualified state-owned enterprises to accelerate the implementation of the professional manager system. Promote the in-depth transformation of the operating mechanism of mixed-ownership enterprises. Deepen the reform of the three systems of labor, personnel and distribution. Carry out shareholding reforms for backbone employees of mixed-ownership enterprises. Implement differentiated and classified assessments for state-owned enterprises. Promote the further concentration of state-owned capital in important industries and key areas related to the national economy and people's livelihood, and in forward-looking strategic emerging industries.

(6) Strengthen the reform of the scientific and technological innovation system. Deepen the reform of the right to use, dispose of, and benefit from scientific and technological achievements, and carry out pilot projects to grant scientific and technological personnel the ownership or long-term use of scientific and technological achievements. Provide support to new research and development institutions that meet policy requirements. Focusing on advanced equipment manufacturing, life and health and other fields, establish a project formation mechanism driven by industrial demand. Support institutions of higher learning, scientific research institutions, enterprises and institutions to accelerate the transformation of scientific and technological achievements into real productive forces.

(7) Create a fair competition market environment. Strengthen the basic position of competition policy, formulate and promulgate local regulations, government regulations and administrative normative documents in the field of fair competition, and provide a solid legal guarantee for various market players to enjoy a market environment of fair competition. Construct Dalian Northeast Asia International Commercial Legal Service Platform, and build a public legal service system integrating lawyers, notarization, appraisal, mediation, and arbitration. Improving the judicial review of arbitration. Support the operation of international commercial dispute prevention and resolution organizations in the Pilot Free Trade Zone, and provide enterprises in the zone with a full chain of commercial legal services of "prevention before the event, mediation during the event, and settlement after the event".

3. Benchmark international advanced rules and lead high-level opening up

(8) Improve the investment management system that is in line with internationally accepted rules. In-depth implementation of the national treatment plus negative list management system before foreign investment access, and strictly implement the "Special Management Measures for Foreign Investment Access in Pilot Free Trade Zones (Negative List)" and the "National Security Review of Foreign Investment in Pilot Free Trade Zones by the General Office of the State Council" Notice of the Trial Measures" (Guo Ban Fa [2015] No. 24). Improve the foreign investment information reporting system and the foreign investment information publicity platform, and implement the "multi-reporting" of market supervision, business, and foreign exchange annual reports.

(9) Implement higher-level trade facilitation measures. Promote the facilitation, intelligence, and internationalization of the "single window" for international trade, and strengthen cooperation with ports, port operation stations, banks, insurance and other departments. Further consolidate the implementation of the two-step declaration of the "single window" for international trade, import and export licenses, foreign trade operator filing, export tax rebates, financial services and other functions. Unleash the potential of new trade methods, explore and improve supporting policies and systems for the development of offshore trade, and take the lead in developing offshore trade in bulk commodities such as oil and ores. Carry out cross-border e-commerce retail import of some drugs and medical devices, and support the establishment of ports for the first import of drugs and biological products in each area of the Pilot Free Trade Zone. Carry out import trade innovation, promote the construction of Dalian Jinpu New District Import Trade Promotion Innovation Demonstration Zone, and promote innovation in the import supervision system, business model, supporting services and other aspects. Support the establishment of bonded delivery warehouses for bulk agricultural product futures.

(10) Accelerate financial opening and innovation that is conducive to serving the real economy. Explore the establishment of wholly foreign-owned or Sino-foreign joint venture financial institutions in the Pilot Free Trade Zone. Support large equipment manufacturing enterprises to establish financial leasing companies or project subsidiaries in the Pilot Free Trade Zone. Carry out financial leasing asset transactions and cross-border asset transfer business. Carry out the pilot program of foreign debt facilitation for financial leasing companies. Standardize and explore intellectual property securitization models in qualified free trade pilot zones. Carry out the pilot program of qualified foreign limited partners (QFLP) for foreign-invested equity investment enterprises, and formulate policies for foreign equity investment to support the development of enterprises. Strive to carry out the pilot program of the domestic and foreign currency integrated bank account system, and innovate the management of the account system. Strive to carry out pilot projects to facilitate foreign exchange receipts and payments for trade in goods and trade in services. In accordance with the relevant national work arrangements, encourage cross-border

e-commerce activities to be settled in RMB. Explore ways to return through export trade under the RMB capital account.

(11) Establish a policy system to promote the open development of shipping. Foreign ship inspection agencies authorized in accordance with the law are allowed to carry out statutory inspections of internationally registered ships in the Pilot Free Trade Zone. Explore the establishment of Dalian Northeast Asia Maritime Comprehensive Service Platform. Improve service functions such as ship registration, ship management, crew management, ship trading, and ship insurance. Relying on the Dalian Commodity Exchange, promote the R&D and listing of shipping futures and other futures varieties, and explore the trading of derivatives such as dry bulk logistics indexes. Accelerate the introduction of foreign traders to participate in futures trading. Improve the regulatory policies for futures bonded delivery.

(12) Explore the construction of Dalian Free Trade Port. Relying on the special customs supervision areas, implement a higher standard trade supervision system of "opening the first line" and "controlling the safety and efficiency of the second line". Explore the implementation of financial, foreign exchange, investment and entry-exit management systems in line with internationally accepted practices, establish and improve risk prevention and control systems, and facilitate investment and operation, free entry and exit of goods, convenient capital flow, highly open transportation, free practice of personnel, and fast information connectivity. Achieve innovation breakthroughs in other aspects.

4. Focusing on intelligent manufacturing and digital industry, enhance the overall competitiveness of industrial innovation and development

(13) Promoting the intelligent service-oriented transformation of the manufacturing industry. Support enterprises to research and participate in the construction of secondary nodes for industrial Internet logo analysis, explore the combination with the backbone nodes of "Spark Chain Network", and form trusted logos, and promote the expansion of applications in the fields of equipment manufacturing, automobile industry, steel and deep processing. Enterprises in the Pilot Free Trade Zone shall be supported in carrying out bonded maintenance business with "both ends outside" in accordance with the catalog of maintenance products in the comprehensive bonded area.

(14) Advance layout of digital emerging industries. Establish a green energy industrial park, encourage hydrogen energy trade, and promote hydrogen energy pilot demonstrations and terminal applications. Develop the digital economy and promote the deep integration of digital technology with manufacturing, service industries, cross-border trade, and government services. Strengthen international cooperation on Internet data, increase protection of rights and data such as patents, copyrights, and corporate trade secrets, and promote international digital economic exchanges

and cooperation in Northeast Asia. Explore 5G big data applications, 5G smart application scenarios and products in key industries.

(15) Promote the development of the entire chain of high-end high-tech industries. Build a common technology research and development service platform such as medicine and medical technology, and support breakthroughs in key core areas. Support medical device manufacturers to accept reports from third-party inspection agencies when registering new products. Support the Yingkou area of the Pilot Free Trade Zone to create an innovative industrial cluster of biodegradable materials and products, and build an innovative platform for the biodegradable materials and products industry.

(16) Improve the development level of trade in services. Try to implement a negative list management system for cross-border service trade, and explore further relaxation of restrictions on the movement of natural persons, cross-border delivery, and overseas consumption. Support qualified professionals in finance, architecture, planning, design and other fields with overseas professional qualifications to provide services in the Pilot Free Trade Zone. Actively apply for the construction of "National Characteristic Service Export Base".

(17) Pioneering the international construction of benchmarking in the medical and health field. Support the introduction and cultivation of high-end medical institutions, build an incubation platform for medical technology innovation, and set up a cross-border bonded center for medical equipment and cosmeceutical products. Support the holding of pharmaceutical and equipment exhibitions, international conferences and forums on life sciences. Support the cooperative education of well-known life science universities in China and Japan. Support the development of advanced medical treatment and cutting-edge medical research. Support professional health insurance institutions to develop innovative insurance products. Support international multi-center clinical trials of medical devices.

V. Create new advantages in international cooperation and competition, and promote the high-quality development of the joint construction of the "Belt and Road"

(18) Build a comprehensive transportation hub facing Northeast Asia. Promote the construction of open channels, support Shenyang and Dalian International Airports to use the fifth aviation right, allow foreign airlines to carry passenger and cargo business from the city to third countries on the basis of equality and mutual benefit, and actively recommend and guide foreign airlines to apply to enter the Chinese market foreign airlines flying to relevant airports. Strive to include the China-Europe Railway Express starting from Liaoning in the pilot program of China-Europe safe and intelligent trade routes. Promote the construction of the "Dalian-Yingkou" combined port system. Accelerate the promotion of the "one order system" for multimodal transport. Explore the function of giving the document of title to the multimodal

transport document. Set up an international re-export consolidation supervision warehouse, and establish a convenient and efficient international re-export consolidation supervision model. Liaoning provincial waterway transportation management department handles management matters such as the allotment, renewal, reissuance, and cancellation of the "Ship Business Transport Certificate" for coastal interprovincial passenger ships and dangerous goods ships operated by domestic waterway transportation enterprises registered in Liaoning Province. Actively strive for the right to approve the operation of bonded oil for international voyages, and promote the development of related service industries.

(19) Promote the upgrading of cross-border consumption along the "Belt and Road". Actively attract and support CDFG and other major domestic duty-free enterprises to settle in the Pilot Free Trade Zone. Build an international bonded exhibition and trading center for artworks in Northeast Asia, develop international bonded exhibitions and transactions for artworks in Northeast Asia such as Russia, Japan, and South Korea, and create a collection of international channel services, warehousing and logistics, exhibitions, auctions, copyright services, and appraisals. , financial insurance, industry consulting and other service functions as one of the emerging formats.

(20) Improve the level of cooperation in the value chain of the "Belt and Road" industrial chain. Deepen cooperation with Northeast Asia, Central and Eastern Europe and other countries and regions in the field of high-end industry key technology research and development, explore the establishment of joint laboratories, and promote the exchange of scientific and technological talents and the transformation and transfer of achievements. Focus on advanced manufacturing industries such as high-end equipment, new materials, and biomedicine, and modern service industries such as finance, industrial design, medical care and health, cultural tourism, education and sports, and continue to attract powerful Northeast Asian regional enterprises to invest in the Pilot Free Trade Zone cooperate.

(21) Explore and promote cooperation in third-party markets along the "Belt and Road". Explore the establishment of a third-party market information sharing database, and provide companies participating in third-party market cooperation with key information such as the general situation of the host country, laws and regulations, customs, business environment, and market demand. Properly handle differences in interests with companies in third-party countries, and establish institutionalized communication platforms in areas where there may be differences in market share, profit arrangements, intellectual property protection, technology transfer, and corporate business information security.

(22) Promote a higher level of coordinated opening up with surrounding areas. Intensify stress testing in areas such as market access, trade and investment facilitation, intellectual property cooperation, and technical cooperation. Promote

the establishment of the Bohai Rim Pilot Free Trade Zone Alliance with Beijing-Tianjin-Hebei, Shandong, and Heilongjiang, and make breakthroughs in key areas such as innovation cooperation, infrastructure connectivity, industrial transfer and undertaking, and joint protection and governance of the ecological environment.

## 6. Strengthen the organization and implementation

(23) Strengthen responsibility implementation. Integrate party building throughout the entire process of deepening reforms in the Pilot Free Trade Zone. All member units of the provincial free trade pilot zone work leading group should boldly try, boldly break through, and make independent reforms, actively research and propose systematic and in-depth reforms, and take the lead in piloting in the free trade pilot zone. Explore the establishment of a mechanism of "action upon receipt of complaints", and actively seek policy support from relevant state ministries and commissions for reform and innovation matters involving the power of the central government.

(24) Strengthen the guarantee of elements. Make full use of Liaoning Provincial Industrial (Entrepreneurship) Investment Guidance Fund to effectively drive and guide social investment and exert the leverage effect of financial funds. By improving financing services, improving the credit evaluation system, and increasing support for the construction of advanced industries and parks. Strengthen the functions of the comprehensive service platform for foreign investment, and improve the foreign investment promotion system. Improve the efficiency of land resource allocation, and give priority to ensuring the reasonable land use demand for the construction of free trade pilot zones.

(25) Strengthen risk prevention. With the help of next-generation information technologies such as the Internet of Things, big data, and artificial intelligence, high-standard intelligent supervision infrastructure will be built to realize interconnection, mutual recognition and sharing of supervision information. Strengthen the integration, analysis, and prevention and control of big data to ensure the safe and efficient flow of people, goods, and capital between overseas and pilot free trade zones, and minimize the probability of risks.

(26) Strengthen summary assessment. Strengthen the tracking research and effect evaluation functions of third-party institutions, and regularly summarize and evaluate institutional experience in investment management, trade supervision, financial opening, talent flow, transportation management, risk management and control, etc. Make full use of various forms and carriers to publicize new progress, new measures, and new results in the construction of the Pilot Free Trade Zone, and guide and gather forces from all walks of life to participate in the construction of the Pilot Free Trade Zone.

